



MAR. 19 2002

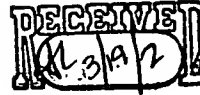
9:15AM

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Official

NO. 297

P. 1



Atty Docket No. 020313-001410US

PTO FAX NO.: 703-746-7240
ATTENTION: Customer Service
TELEPHONE NO.: 703-306-5631

Group Art Unit 2176

**OFFICIAL COMMUNICATION
REPLY TO NOTICE OF MISSING PARTS OF
NON-PROVISIONAL APPLICATION**

**FOR ENTRY IN APPLICATION NO. 09/997,311
FILED ON NOVEMBER 27, 2001**

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of JAMES R. SHAY et al., U.S. Application No. 09/997,311, filed November 27, 2001 for COMPUTER IMPLEMENTED METHOD FOR CONTROLLING DOCUMENT EDITS are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Document(s) Attached

1. SB/21 Transmittal Form (1 page)
2. SB/17 Fee Transmittal (in duplicate) (2 pages)
3. Petition to Extend Time (1 page)
4. Declaration (1 page)
5. Supplemental Application Data Sheet (3 pages)
6. Power of Attorney (1 page)
7. Statement Under 3.73(b) with attached copy of Assignment (4 pages)
8. Copy of Notice of Missing Parts (1 page)

Number of pages being transmitted, including this page: 15

Dated: March 19, 2002

Krista K. Merrimac

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (650) 326-2422

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
Telephone: 650-326-2400 / Fax: 650-326-2422

PA 3208776 v1

* * * TRAN - SSION RESULT REPORT (MAR.19.2002 9:20AM) * * *

TTI TOWNSEND&TOWNSEND

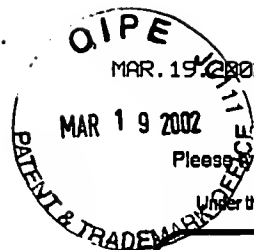
DATE	TIME	ADDRESS	MODE	TIME	PAGE	RESULT	PERS. NAME	FILE
MAR.19.	9:15AM		USPTO TES	5'15"	P.15	OK		297

: BATCH
L : SEND LATER
S : STANDARD

C : CONFIDENTIAL
@ : FORWARDING
D : DETAIL

P : POLLING
E : ECM
F : FINE

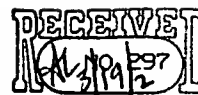
M : MEMORY
> : REDUCTION



MAR. 19 2002 9:16AM

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P.2

#3

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/997,311	
	Filing Date	November 27, 2001	
	First Named Inventor	Shay, James R.	
	Group Art Unit	2176	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	020313-001410US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration, Supplemental Application Data Sheet, Power of Attorney, Statement Under 3.73(b) with attached copy of Assignment, Copy of Notice of Missing Parts, Certificate of Facsimile Transmission
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated December 19, 2001, the enclosures listed above are to be made of record in the above-identified case. The fees required are listed on the attached Fee Transmittal to be deducted from our Deposit Account listed above.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP	
	Ched R. Walsh	Reg. No. 43,235
Signature		
Date	March 19, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 746-7240 on March 19, 2002.		
Typed or printed name	Krista K. Merrimee	
Signature		Date
		March 19, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3206807 v1

#3

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P.3

PTO/BB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

MAR 1 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known

Application Number	09/997,311
Filing Date	November 27, 2001
First Named Inventor	Shay, James R.
Examiner Name	To Be Assigned
Group Art Unit	2178
Attorney Docket No.	020319-001410US

TOTAL AMOUNT OF PAYMENT (\$) 528

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 20-1430

Deposit Account Name: Townsend and Townsend and Crew LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE			
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	180	214	80

Fee Description: Utility filing fee, Design filing fee, Plant filing fee, Reissue filing fee, Provisional filing fee

Fee Paid: 370

SUBTOTAL (1) (\$370)

2. EXTRA CLAIM FEES

Total Claims			
Independent Claims	Multiple Dependent	Extra Claims	Fee from below
24	-20**	4	\$9
3	-3**	0	\$42

Fee Paid: \$36

SUBTOTAL (2)			
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9

Fee Description: Claims in excess of 20, Independent claims in excess of 3, Multiple dependent claim, if not paid, Reissue independent claims over original patent, Reissue claims in excess of 20 and over original patent

Fee Paid: \$36

SUBTOTAL (2) (\$36)

FEE CALCULATION (continued)

3. ADDITIONAL FEES			
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
103	130	203	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	820*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,950	228	980
119	320	219	160
120	320	220	160
121	290	221	140
133	1,510	133	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	480	243	240
144	620	244	310
122	130	122	130
123	50	123	50
126	160	126	160
581	40	581	40
148	740	248	370
149	740	249	370
178	740	278	370
188	900	188	900

Fee Description: Surcharge - late filing fee or oath, Surcharge - late provisional filing fee or cover sheet, Non-English specification, For filing a request for reexamination, Requesting publication of SIR prior to Examiner action, Requesting publication of SIR after Examiner action, Extension for reply within first month, Extension for reply within second month, Extension for reply within third month, Extension for reply within fourth month, Extension for reply within fifth month, Notice of Appeal, Filing a brief in support of an appeal, Request for oral hearing, Petition to institute a public use proceeding, Petition to revive - unavoidable, Petition to revive - unintentional, Utility issue fee (or reissue), Design issue fee, Plant issue fee, Petitions to the Commissioner, Petitions related to provisional applications, Submission of Information Disclosure Sheet, Recording each patent assignment per property (times number of properties), Filing a submission after final rejection (37 CFR § 1.129(a)), For each additional invention to be examined (37 CFR § 1.129(b)), Request for Continued Examination (RCE), Request for expedited examination of a design application

Fee Paid: 85, 55, 120

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$120)

**or number previously paid, if greater; For Reissues, see above

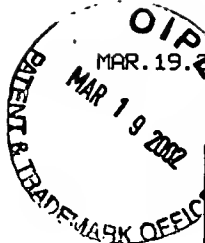
SUBMITTED BY

Name (Print/Type)	Chad R. Walsh	Registration No. (Attorney/Agent)	43,235	Telephone	650-328-2400
Signature	Chad Walsh			Date	March 19, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-3038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE RESPONSE TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3208811 v1

Received from < 6503262422 > at 3/19/02 12:18:49 PM [Eastern Standard Time]



MAR. 19. 2002

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P. 5

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)
020313-001410US

In re Application of JAMES R. SHAY et al.

Application Number 09/997,311

Filed November 27, 2001

For COMPUTER IMPLEMENTED METHOD FOR
CONTROLLING DOCUMENT EDITSGroup Art Unit
2176Examiner
To Be Assigned

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a
reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows
(check time period desired):

- ☒ One month (37 CFR 1.17(a)(1)) Fee authorized on Fee \$110
Transmittal - Form PTO/SB/17
- ☐ Two months (37 CFR 1.17(a)(2)) \$
- ☐ Three months (37 CFR 1.17(a)(3)) \$
- ☐ Four months (37 CFR 1.17(a)(4)) \$
- ☐ Five months (37 CFR 1.17(a)(5)) \$

☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown
above is reduced by one-half, and the resulting fee is: \$ 55 .

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this
application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required,
or credit any overpayment, to Deposit Account Number 20-1430.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record.

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a). _____

**WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.**

March 19, 2002

Date

Signature

Chad R. Walsh, Reg. No. 43,235

Typed or printed name

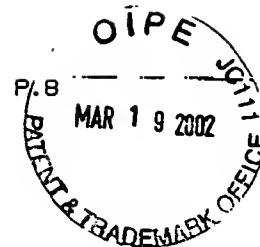
05/09/2002 VTRUONG1 00000001 201430 09997311

03 FC:215 55.00 CH

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple
forms if more than one signature is required, see below.

☒ *Total of 1 forms are submitted.

Burden Hour Statement This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark
Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for
Patents, Washington, DC 20231.
PA 3206795 v1

**Applicant Information**

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: James
Middle Name:: R.
Family Name:: Shay
Name Suffix::
City of Residence:: Seattle
State or Province of Residence:: WA
Country of Residence:: US
Street of Mailing Address:: 1515 Madrona Drive
City of Mailing Address:: Seattle
State or Province of mailing address:: WA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 98122

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Jeffry
Middle Name:: J.
Family Name:: Grainger
Name Suffix::
City of Residence:: Portola Valley
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 95 Palmer Lane
City of Mailing Address:: Portola Valley
State or Province of mailing address:: CA

Country of mailing address:: US
Postal or Zip Code of mailing address:: 94028

Correspondence Information

Correspondence Customer Number:: 20350

Representative Information

Representative Customer Number:: 20350

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Non-Provisional of	60/309,244	07/31/01
09/997,311	Non-Provisional of	60/253,360	11/27/00

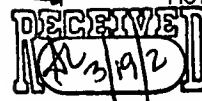
Foreign Priority Information

Country:: Application number:: Filing Date::

Assignee Information

Assignee Name:: First to File, Inc.
Street of mailing address:: 3355 Edison Way
City of mailing address:: Menlo Park
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94025

Official



Please type a plus sign (+) inside this box →



PTO/SB/81 (02-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/997,311
	Filing Date	November 27, 2001
	First Named Inventor	Shay, James R.
	Title	COMPUTER IMPLEMENTED METHOD FOR CONTROLLING DOCUMENT EDITS
	Group Art Unit	2176
	Examiner Name	To Be Assigned
	Attorney Docket Number	016757-001410US

I hereby appoint:

☒ Practitioners at Customer Number

20350


☐ Practitioner(s) named below:

Name	Registration Number
	20350

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number,

OR

☐ Practitioners at Customer Number

<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City		State		ZIP
Country				
Telephone		Fax		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

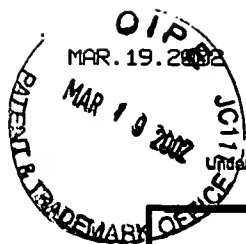
SIGNATURE of Applicant or Assignee of Record

Name	James R. Shay
Signature	<i>James R. Shay</i>
Date	3/20/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

Burdan Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3195958 v1



MAR. 19. 2002

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P. 11

PTO/8B/98 (08-00)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
Attorney Docket No. 020313-001410US

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: James R. Shay et al.Application No./Patent No.: 09/997,311Filed/Issue Date: November 27, 2001Entitled: COMPUTER IMPLEMENTED METHOD FOR CONTROLLING DOCUMENT EDITS

First To File, Inc.

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

30 Jan 2002
Date

James R. Shay
Signature
JAMES R. SHAY
Typed or printed name

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3195987 v1



MAR. 19. 2002

9:19AM

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NO. 297

P. 12

Attorney Docket No.: 020313-001410US

#15

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, James R. Shay of 1515 Madrona Drive, Seattle, Washington 98122; Jeffrey J. Grainger of 95 Palmer Lane, Portola Valley, California 94028; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent;

Title of Invention: COMPUTER IMPLEMENTED METHOD FOR
CONTROLLING DOCUMENT EDITS

Date(s) of execution of Declaration: January 25, 2002, and January 30, 2002

Filing Date: November 27, 2001

Application No.: 09/997,311; and

WHEREAS, FIRST TO FILE, INC., a corporation of the state of Delaware, located at 3355 Edison Way, Menlo Park, California, 94025, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

COPY

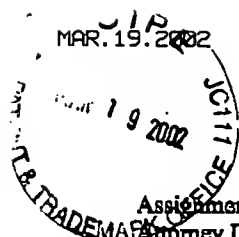
MAR. 19. 2002

9:20AM

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NO. 297

P. 13



Assignment

Attorney Docket No.: 020313-001410US

Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 3-29-2002

James R. Shay
James R. Shay

WITNESSES:

Dated: _____

Name: _____

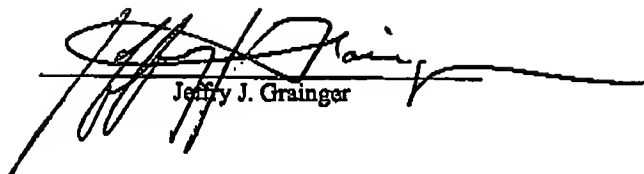
Dated: _____

Name: _____

COPY

Assignment
Attorney Docket No.: 020313-001410US
Page 3

Dated: 1/25/02


Jeffrey J. Grainger

WITNESSES:

Dated: _____

Name: _____

Dated: _____

Name: _____

COPY

MAR. 19. 2002 9:20AM

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NO. 297

P. 15

Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,311	11/27/2001	James R. Shay	020313-001410US

 20350
 TOWNSEND AND TOWNSEND AND CREW, LLP
 TWO EMBARCADERO CENTER
 EIGHTH FLOOR
 SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 3014

FORMALITIES LETTER

OC00000007211541

Date Mailed: 12/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$78.
 - \$36 for 4 total claims over 20.
 - \$42 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 513.

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A copy of this notice **MUST** be returned with the reply.

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